AQUEST WEALTH STRATEGIES

Your Financial Future Begins with AQUEST

Please send or bring the following items to our office:

- Most recent paystubs
- Most recent federal and state income tax returns
- Employee benefit statements and booklets
- Pension statements and/or booklets with pension formula
- Most recent Social Security benefit statements
- Bonus Plans
- Values of titled personal assets
- Most recent investment statements
- Most recent mortgage statements, origin date and amount
- Current wills, trust documents and other legal documents
- Life, disability and long-term care insurance policies, statements and illustrations (if available)
- Annuity Statements
- Last three months of bank and/or money market statements
- Stock option and restricted stock statements
- Deferred compensation arrangements

Please include the folloing business related items:

- Current profit and loss statement
- Buy-sell agreements
- Business insurance policies, statements and illustrations
- Retirement plan document

Personal and Financial Data

First Person: ______________________ Second Person: ______________________

Sex: ______ Birthdate: ______________ Retirement Age: _______ Sex: ______ Birthdate: ______________ Retirement Age: _______

Marital Status: _________ Home Phone #: _______________ Marital Status: _________ Home Phone #: _______________

Street: ______________________ Street: ______________________

City: ______________ ST: ____ Zip Code: __________ City: ______________ ST: ____ Zip Code: __________

e-mail: ______________ Business Phone: __________ e-mail: ______________ Business Phone: __________

Will / Trust: __________ Type: ____________ Last Updated: ____________ Living Will / Health Care Proxy / Power of Attorney

Other estate planning documents: ______________________

PERSONAL FOCUS AREAS

- ☐ Comprehensive financial plan
- ☐ Provide college funds for children
- ☐ Borrow funds for college educations
- ☐ Avoid probate costs and delays
- ☐ Minimize estate taxes
- ☐ Discuss program of gifting
- ☐ Grow retirement savings
- ☐ Life insurance review
- ☐ Protect earned income if disabled
- ☐ Establish /review estate plan
- ☐ Maximize inheritance assets
- ☐ Stock option planning
- ☐ Increase retirement income
- ☐ Long-term care insurance
- ☐ Cash flow / debt management
- ☐ Portfolio review
- ☐ Home loan review
- ☐ Sell highly appreciated assets

BUSINESS FOCUS AREAS

- ☐ Establish a continuation / succession plan
- ☐ Deferred compensation
- ☐ Sell, buy or start a business
- ☐ Equalize estate for heirs
- ☐ Key employee/partner protection
- ☐ Buy insurance through business

CHILDREN / DEPENDENTS / HEIRS

Name	Dependent of	Birth Date	Years of College	Annual Cost Today	Wedding Date/Cost	Current Savings Amount	Money Earmarked for this Goal	Concerns or Special Needs

PERSONAL PROPERTY

Specific Asset Name (Home, Boat, Business, Vacation Home)	Owner	Type (Residence, Personal Use, Business)	Purchase Date	Current Value	Future Sale Date / Replace?

INVESTMENTS

Description (401k, IRA, Pension)	Owner	Type (Mutual Fund, Stock, Bond)	Current Value	Monthly / Annual Savings	Intended Heirs (Primary & Contingent)	Goal for Account

INVESTOR PROFILE - your expectation for investment returns based your comfort level for fluctuations

❑ Aggressive ❑ Growth ❑ Growth with Income ❑ Income with Moderate Growth ❑ Capital Preservation

FUTURE PURCHASE GOALS

Description (vacation, second home)	Purchase Amount	Purchase Date	Savings Plan Start Date	Earmarked Assets (Accounts that will fund this goal)

INCOME

Description	Person	Annual Amount	Applicable Period (Start Date - End Date)	Rate of Increase (Percentage)
First Person's Salary				
Second Person's Salary				
Self-Employed				
Alimony				
Child Support				

EXPENSES - compare to the average from last 3 to 6 bank and/or money market debit/withdrawal amounts

Description	Monthly Amount	Applicable Period (Start Date - End Date)	Description	Monthly Amount	Applicable Period (Start Date - End Date)
Housing (include property taxes)			Medical / Dental / Prescriptions		
Food (plus lunches)			Discretionary		
Cars /Transportation			Personal		
Entertainment			Charity		

DEBTS

Description	Owner	Start Date	Original Principal	Principal Balance Remaining	Interest Rate	Interest Only / Principal & Interest	Loan Period (Years)	Payment Frequency	Additional Payments / Final Payoff	Frequency / Payoff Date

TELL US MORE ABOUT YOUR HOPES, DREAMS, GOALS & WISHES